



Global Finance & Securities Ltd.

CIN : L65991WB1994PLC061723

Dated: 29th September, 2023

To,
Listing Department
The Calcutta Stock Exchange Limited
7, Lyons Range
Kolkata 700 001

Dear Sir,

SUB.: Compliance under Regulation 44(3) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015

Dear Sir/Madam,

This is to inform you that 30th Annual General Meeting (“AGM”) of M/s. Global Finance & Securities Limited was held on Thursday, 28th September, 2023 at 10.30 A.M. at 17, Ganesh Chandra Avenue, 5th Floor, Kolkata, West Bengal - 700 013.

As per the provisions of the Companies Act, 2013 and SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015, the Company had provided the facility of remote E-voting to the shareholders to enable them to cast their vote electronically to the resolutions proposed in the notice of the 30th AGM. The Remote E-voting was open from Monday, 25th September, 2023 at 9.00 a.m. (IST) to Wednesday, 27th September, 2023 at 5.00 p.m. (IST).

The Board of Directors had appointed Mr. Rajarshi Ghosh, Practicing Company Secretary, as Scrutinizer for E-Voting and poll process at the AGM; Mr. Rajarshi Ghosh has carried out the scrutiny of all the electronic votes and poll conducted at the AGM and has submitted his Report on 28th September, 2023.

Based on the Consolidated Report of the scrutinizer, all ordinary resolutions as set out in the Notice of 30th Annual General Meeting have been duly approved by the shareholders with requisite majority.

In this regard, please find enclosed the following:

5. Disclosures pertaining to the voting results of remote E-voting and poll conducted at the 30th AGM, pursuant to provisions of Regulation 44(3) of SEBI (LODR) Regulations, 2015.





Global Finance & Securities Ltd.

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6. Consolidated Report of the Scrutinizers dated 28th September, 2023, pursuant to Section 108 of the Companies Act, 2013 and rules made thereunder.

The aforementioned are also being uploaded on the Company's website.

This is for your information and record.

Thanking You

Yours faithfully,
For Global Finance & Securities Ltd.

Ankita Agarwal

Ankita Agarwal
Company Secretary & Compliance Officer



Details of results of E-voting and Ballot pursuant to Regulation 44(3) of the SEBI (Listing Obligation and Disclosure Requirement) Regulation

Sl.No.	Particulars	Details
1	Date of AGM	28 th September, 2023
2	Total number of shareholder as on Cut-off Date	3336
3	No. of shareholders present in the Meeting either in Person or Through proxy	
	➤ Promoter and Promoter Group	6
	➤ Public	18
4	E-Voting period	25 th September, 2023 (9:00 a.m.) to 27 th September, 2023 (5:00 p.m.)
5	No. of Shareholders who attended the meeting through video conferencing -	Not Applicable
	➤ Promoter and Promoter Group	-
	➤ Public	-





Agenda Item No.1 Adoption of the Audited Financial Statements of the Company (including Audited Consolidated Financial Statements) for the year ended 31st March, 2023 together with the Reports of the Board of Directors and Auditors thereon.									
Resolution required: (Ordinary/Special)									
Whether Promoter/Promoter Group are interested in the Agenda/ resolution									
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares (3) = [(2)/(1)]*100	No. of Votes in favor	No. of Votes - against	% of Votes in favor on votes polled [(4)/(2)]*100	% of Votes against on votes polled (7) = [(5)/(2)]*100	Votes Abstain/ Invalid
Promoter and Promoter Group	E-voting	2215500	1880400	84.87	1880400	Nil	100	Nil	Nil
	Poll - Ballot Paper	2215500	65100	2.94	65100	Nil	100	Nil	Nil
	Postal Ballot (if applicable)	NA	NA	NA	NA	NA	NA	NA	NA
	Total	2215500	1945500	87.81	1945500	Nil	100	Nil	Nil
Public - Institutional holders	E-voting	83500	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	Poll - Ballot Paper	83500	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	Postal Ballot (if applicable)	NA	NA	NA	NA	NA	NA	NA	NA
	Total	83500	Nil	Nil	Nil	Nil	Nil	Nil	Nil
Public-Others	E-voting	2701200	441600	16.35	441600	Nil	100	Nil	Nil
	Poll - Ballot	2701200	53100	1.96	53100	Nil	100	Nil	Nil

Paper									
Postal Ballot (if applicable)	NA	NA	NA	NA	NA	NA	NA	NA	NA
Total	2701200	494700	18.31	494700	NIL	100	NIL	NIL	NIL
Total	5000200	2440200	48.80	2440200	NIL	100	NIL	NIL	NIL

Agenda Item No.2

Appointment of Hathi Mal Nahata (DIN: 00522595) as a Director, who retires by rotation and being eligible offers himself for re-appointment.

Resolution required: (Ordinary/Special)		Ordinary							
Whether Promoter / Promoter Group are interested in the Agenda/ resolution		Yes							
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares (3) = [(2)/(1)]*100	No. of Votes in favor	No. of Votes - against	% of Votes in favor on votes polled [(4)/(2)]*100	% of Votes against on votes polled (7) = [(5)/(2)]*100	Votes Abstain/ Invalid
Promoter and Promoter Group	E-voting	2215500	1880400	84.87	1880300	Nil	99.99	Nil	100
	Poll - Ballot Paper	2215500	65100	2.94	65100	Nil	2.94	Nil	Nil
	Postal Ballot (if applicable)	NA	NA	NA	NA	NA	NA	NA	NA
	Total	2215500	1945500	87.81	1945400	Nil	99.99	Nil	100
Public - Institutional holders	E-voting	83500	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	Poll - Ballot Paper	83500	Nil	Nil	Nil	Nil	Nil	Nil	Nil



GLOBAL FINANCE & SECURITIES LIMITED
CIN: L65991WB1994PLC061723

Regd. Office: 17, Ganesh Chandra Avenue, 5th Floor, Kolkata - 700 013

Website: www.globalfinance.co.in

Email: gfs11994@gmail.com

	Postal Ballot (if applicable)	NA	NA	NA	NA	NA	NA	NA	NA	NA
Public-Others	Total	83500	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	E-voting	2701200	441600	16.35	441600	Nil	100	Nil	Nil	Nil
	Poll - Ballot Paper	2701200	53100	1.96	53100	Nil	100	Nil	Nil	Nil
	Postal Ballot (if applicable)	NA	NA	NA	NA	NA	NA	NA	NA	NA
Total	Total	2701200	494700	18.31	494700	Nil	100	Nil	Nil	Nil
	Total	5000200	2440200	48.80	2440100	Nil	99.99	Nil	Nil	100

For Global Finance & Securities Ltd.



Kamal Singh Singhi
Chairman cum Director
DIN No.: 00514656



CS RAJARSHI GHOSH

Company Secretary In Practice

FCS No. - 12595

CP. No. – 8921

AB – 198,
Sector 1, Salt Lake City
Kolkata- 700 064
Mobile No: 09830129400
Email: rajaghosh2002@gmail.com

Date: 28-09-2023

To,
The Chairman
Global Finance & Securities Ltd
CIN: L65991WB1994PLC061723
17 Ganesh Chandra Avenue,
Kolkata – 700 013

Sub: Scrutinizer's Report

Ref: 30th Annual General Meeting (AGM) of the Members of Global Finance & Securities Ltd. held on Thursday, 28th day of September, 2023 at 10.30 A.M. at 17, Ganesh Chandra Avenue, 5th Floor, Kolkata – 700 013

Dear Sir,

I, **Rajarshi Ghosh**, a Company Secretary in Practice (Membership No. FCS 12595, CP No. 8921), would like to thank you for appointing me as the Scrutinizer for remote e-Voting and voting by Members of the Company through Ballot Paper at the 30th Annual General Meeting (AGM) of your Company held on Thursday, 28th day of September, 2023 at 10.30 A.M. at 17, Ganesh Chandra Avenue, 5th Floor, Kolkata – 700 013.

I am pleased to submit the Consolidated Scrutinizer's Report, which is self-explanatory.

Date: 28.09.2023

Place: Kolkata



A handwritten signature in black ink, appearing to read 'Rajarshi Ghosh'.

RAJARSHI GHOSH

FCS No. 12595

CP No. 8921

UDIN: F012595E001113574

CS RAJARSHI GHOSH

Company Secretary In Practice

FCS No. - 12595

CP. No. – 8921

AB – 198,

Sector 1, Salt Lake City

Kolkata- 700 064

Mobile No: 09830129400

Email: rajaghosh2002@gmail.com

SCRUTINIZER'S REPORT

NAME OF THE COMPANY	Global Finance & Securities Ltd.
MEETING	30th Annual General Meeting
DAY, DATE & TIME	Thursday, 28th day of September, 2023 at 10.30 A.M.
VENUE	17, Ganesh Chandra Avenue, 5th Floor, Kolkata– 700 013

1. **Appointment as Scrutinizer**

I was appointed as the Scrutinizer for the remote e-Voting as well as the voting conducted through Ballot Paper at the 30th Annual General Meeting (AGM) of **Global Finance & Securities Ltd.** (hereinafter referred to as **the Company**) held on Thursday, 28th day of September, 2023 at 10.30 A.M. at the venue.

2. **Dispatch of Notice convening the AGM**

The Company has informed that, on the basis of the Register of Members and the List of Beneficial Owners made available by the depositories viz., National Securities Depository Limited (NSDL) and Central Depository Services (India) Limited (CDSL), the Company completed dispatch of Notice of the AGM on 2nd September, 2023 and the Company has also given public notice for the dispatch of notice in leading newspapers.

3. **Cut-off date**

The Voting rights were reckoned as on 21st September, 2023, being the cut-off date for the purpose of deciding the entitlements of members at the remote e-Voting and voting at the AGM. There were 3336 members as on the cut-off date.

4. **Remote e-Voting Process**

4.1 **Agency**

The Company has appointed National Securities Depositories Limited (NSDL) as the agency for providing the remote e-Voting platform.

4.2 **Remote e-Voting Period**

Remote e-Voting platform was open from Monday, 25th September, 2023, 09:00 A.M. to Wednesday, 27th September, 2023, 05:00 P.M. and members were required to cast their votes electronically conveying their assent or dissent in respect of the Resolutions, on the remote e-Voting platform as provided by NSDL.

CS RAJARSHI GHOSH

Company Secretary In Practice
FCS No. - 12595
CP. No. – 8921

AB – 198,
Sector 1, Salt Lake City
Kolkata- 700 064
Mobile No: 09830129400
Email: rajaghosh2002@gmail.com

5. Voting at the AGM

- 5.1 The Company had provided the facility for voting through Poll at the AGM and members, who have not cast their votes by remote e-Voting were allowed to exercise their right to vote at the meeting.
- 5.2 The polling papers in Form MGT-12 as per Section 109(5) of the Companies Act, 2013 and Rule 21(1)(c) of the Companies (Management and Administration) Rules, 2014 were distributed to the shareholders present. The shareholders cast their votes in the ballot box kept at convenient place in the venue.

6. Counting Process

- 6.1 On completion of voting at the AGM, M/s. Niche Technologies Private Limited, the Share Transfer Agent of the Company (RTA) provided us with the list of members who has attended the meeting.
- 6.2 The votes were reconciled with the records maintained by the Company and RTA with respect to authorizations/ proxies lodged with the Company.
- 6.3 I have unblocked the remote e-Voting results in the presence of **Mr. Sourav Kumar Roy** and **Mr. Kousick Das** and downloaded the remote e-Voting results.

Sourav Kumar Roy

Kousick Das

Sourav Kumar Roy.

Kousick Das

7. Results

- 7.1 I observed that
 - 11 Members had cast their votes at the AGM.
 - 20 Members had cast their votes through remote e-Voting for Item Nos. 1 & 2.
 - 1 Member's voting have been considered Invalid on account of being related/ interested member for Item No. 2.
- 7.2 The Consolidated Results with respect to each item on the agenda as set out in the Notice of the AGM dated 28th day of September, 2023 is enclosed herewith.

CS RAJARSHI GHOSH

Company Secretary In Practice

FCS No. - 12595

CP. No. – 8921

AB – 198,
Sector 1, Salt Lake City

Kolkata- 700 064

Mobile No: 09830129400

Email: rajaghosh2002@gmail.com

- 7.3 Based on the aforesaid results, I report that One Ordinary Resolution as contained in Item No. 1 of the Notice of the AGM has been duly passed with the absolute majority and One Ordinary Resolution as contained in Item No. 2 of the Notice of the AGM has been duly passed with requisite majority, the result of the voting is detailed in **Annexure A**.



Date: 28.09.2023

Place: Kolkata

A handwritten signature in black ink, appearing to read "Rajarshi Ghosh".

RAJARSHI GHOSH

FCS No. 12595

CP No. 8921

UDIN: F012595E001113574

Item 1. ORDINARY BUSINESS: Adoption of the Audited Financial Statements of the Company (including Audited Consolidated Financial Statements) for the year ended 31st March, 2023 together with the Reports of the Board of Directors and Auditors thereon.

Particulars	Remote evoting		Voting at the AGM		Total		Percentage(%)
	Number	Votes	Number	Votes	Number	Votes	
	1	2	3	4	5=(1)+(3)	6=(2) + (4)	
Voted in favour of the resolution	20	2322000	11	118200	31	2440200	100.000
Voted against the resolution	0	0	0	0	0	0	0.000
Abstain/ Invalid	0	0	0	0	0	0	0.000
Total	20	2322000	11	118200	31	2440200	100.00

Based on the aforesaid result, I report that the Ordinary Resolution as set out in item no 1 of the Notice of the AGM held on 28th September 2023 has been duly passed with absolute majority.

Item 2. ORDINARY BUSINESS: Re-appointment of Mr. Hathi Mal Nahata (DIN: 00522595) as a Director, who retires by rotation and being eligible offers himself for re-appointment.

Particulars	Remote evoting		Voting at the AGM		Total		Percentage(%)
	Number	Votes	Number	Votes	Number	Votes	
	1	2	3	4	5=(1)+(3)	6=(2) + (4)	
Voted in favour of the resolution	19	2321900	11	118200	30	2440100	99.996
Voted against the resolution	0	0	0	0	0	0	0.000
Abstain/ Invalid	1	100	0	0	1	100	0.004
Total	20	2322000	11	118200	31	2440200	100.00

Based on the aforesaid result, I report that the Ordinary Resolution as set out in item no 2 of the Notice of the AGM held on 28th September 2023 has been duly passed with requisite majority.

Place: Kolkata
Dated: 28.09.2023



Rajarshi Ghosh
Rajarshi Ghosh
ACS No.: 12595
COP No.: 8921